



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): He et al. Patent No: 6,191,131  
Application No.: 09/015,002 Issued Date: February 20, 2001  
Filed: January 28, 1998 Docket: 1188-70B CIP  
Confirmation No. Unassigned Dated: June 21, 2004  
For: AZOLO TRIAZINES AND PYRIMIDINES

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22323-1450

I hereby certify this correspondence is being deposited with United States Postal Service as first class mail, postpaid in an envelope, addressed to: **Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450** on June 21, 2004

Signature Barbara Thomas

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TRANSMITTAL OF DOCUMENTS

Sir:

Attached please find the following documents:

- Petition for Correction of Inventorship of Patent
- Statements Agreeing to Change of Inventorship in Patent by Correctly Named Inventors: Paul Gilligan, Liqi He, Robert Chorvat
- Statement of Non-Deceptive Intent by Person Being Deleted by Petition to Change Inventorship in Patent: Argyrios Georgios Arvanitis
- Consent of Assignee to Change of Inventorship in Patent
- Statement Under 37 CFR 3.73(b)
- Associate Power of Attorney Pursuant to 37 CFR 1.34 by Attorney of Record
- Check \$130.00
- Postcard

Respectfully submitted,

Daniel A. Sosia, Jr.  
Reg. No. 29,855

HOFFMANN & BARON, LLP  
6900 Jericho Turnpike  
Syosset, NY 11791  
(973) 331-1700

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SN-097015,002

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Practitioner's Docket No. 1188-70B CIP

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Patent: 6,191,131

Date of Issue: February 20, 2001

Name of Patentee: He et al.

Title of Invention: Azolo Triazines and Pyrimidines

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

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OFFICE OF PRACTITIONERS

**PETITION FOR CORRECTION OF INVENTORSHIP OF PATENT  
(37 C.F.R. § 1.324)**

1. This is a petition for correction of error in a

*(check the appropriate item below)*

misjoinder

nonjoinder

of inventor(s) in the above issued patent. It is respectfully requested that the PTO issue a certificate correcting the error.

2. Enclosed herewith is (37 C.F.R. § 1.324(b)):

- A.  a statement from each person who is being added as an inventor and/or  
 a statement from each person who is being deleted as an inventor  
that the inventorship error occurred without any deceptive intention on his or  
her part.
- B. A statement from the current named inventors who have not submitted a  
statement under A. above:  
 agreeing to the change of inventorship

or

stating that there is no disagreement in regard to the requested change

3. *(if the patent is assigned, check the following)*

Certificate

OCT 04 2004

of Correction

Also enclosed is the written consent of the assignee.

A statement under 37 C.F.R. § 3.73(b), establishing right of assignee to take  
action, is also enclosed.

06/24/2004 CNGUYEN 00000143 6191131

01 FC:1460

130.00 OP

4. The fee required (37 C.F.R. § 1.20(b)–\$130.00) is paid as follows:

- Attached is a  check  money order in the amount of \$ 130.00
- Authorization is hereby made to charge the amount of \$ \_\_\_\_\_  
 to Deposit Account No. 08-2461
- to Credit card as shown on the attached credit card information authorization form PTO-2038.

***WARNING: Credit card information should not be included on this form as it may become public.***

- Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

A duplicate of this paper is attached.



SIGNATURE OF PRACTITIONER

Daniel A. Scola, Jr.

(type or print name of practitioner)

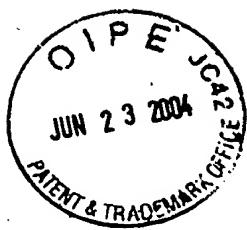
HOFFMANN & BARON, LLP  
6900 Jericho Turnpike  
P.O. Address

Syossett, NY 11791

Reg. No.: 29,855

Tel. No.: ( 973 ) 331-1700

Customer No.: 23869



Practitioner's Docket No. 1188-70B CIP

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Patent: 6,191,131

Application No.: 09/015,002

Date of Issue: February 20, 2001

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Name of Patentee: He et al.

JUN 28 2004

Title of Invention: Azolo Triazines and Pyrimidines

**OFFICE OF PETITIONS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**STATEMENT AGREING TO CHANGE OF INVENTORSHIP IN PATENT BY  
CORRECTLY NAMED INVENTORS  
(37 C.F.R. § 1.324(b)(2))**

I, an inventor signing below and who is correctly named in the above identified patent, make the following statement in support of the petition to correct the Inventorship of this patent:

- I agree to the change of inventorship of this patent.  
 I have no disagreement in regard to the requested change of inventorship of this patent.

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Paul Gilligan

Paul Gilligan 5/25/2004  
SIGNATURE

SIGNATURE



**Practitioner's Docket No.** 1188-70B CIP

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Patent: 6,191,131

**Application No.:**

09/015,002

Date of Issue: February 20, 2001

Name of Patentee: He et al.

Title of Invention: Azolo Triazines and Pyrimidines

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**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

**OFFICE OF PETITIONS**

**STATEMENT AGREEING TO CHANGE OF INVENTORSHIP IN PATENT BY  
CORRECTLY NAMED INVENTORS  
(37 C.F.R. § 1.324(b)(2))**

I, an inventor signing below and who is correctly named in the above identified patent, make the following statement in support of the petition to correct the inventorship of this patent:

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 I have no disagreement in regard to the requested change of inventorship of this patent.

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Liqi He

## SIGNATURE

**SIGNATURE**

(Statement Agreeing to Change of Inventorship in Patent by Correctly Named Inventors [14-12])



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Practitioner's Docket No. 1188-70B CIP

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Patent: **6,191,131**

Application No.: **09/015,002**

Date of Issue: **February 20, 2001**

Name of Patentee: **He et al.**

Title of Invention: **Azolo Triazines and Pyrimidines**

**Commissioner for Patents**

**P.O. Box 1450**

**Alexandria, VA 22313-1450**

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OFFICE OF PENDING

**STATEMENT AGREING TO CHANGE OF INVENTORSHIP IN PATENT BY  
CORRECTLY NAMED INVENTORS  
(37 C.F.R. § 1.324(b)(2))**

I, an inventor signing below and who is correctly named in the above identified patent, make the following statement in support of the petition to correct the inventorship of this patent:

- I agree to the change of inventorship of this patent.  
 I have no disagreement in regard to the requested change of inventorship of this patent.

Robert Chorvat

Robert Chorvat  
SIGNATURE

SIGNATURE



Practitioner's Docket No. 1188-70B CIP

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Patent: 6,191,131

Application No.: 09/015,002

Date of Issue: February 20, 2001

Name of Patentee: He et al.

Title of Invention: Azolo Triazines and Pyrimidines

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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OFFICE OF PETITIONS

**STATEMENT OF NON-DECEPTIVE INTENT BY PERSON BEING DELETED  
BY PETITION TO CHANGE INVENTORSHIP IN PATENT  
(37 C.F.R. § 1.324(b)(1))**

I, the person who is being deleted as an inventor by the petition being submitted to correct the inventorship of this patent do hereby declare that the inventorship error in including my name as an inventor of this patent occurred without any deceptive intention on my part.

Argyrios Georgios Arvanitis

(Type name of inventor being deleted)

Argyros Arvanitis  
SIGNATURE

(Statement of Non-deceptive Intent by Person Being Deleted by Petition to Change Inventorship  
In Patent [14-11])



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C. F. R. O. P. P. T. M. O. L. C.

Practitioner's Docket No. 1188-70B CIP

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Patent: 6,191,131

Date of Issue: February 20, 2001

Name of Patentee: He et al.

Title of Invention: Azolo Triazines and Pyrimidines

Commissioner for Patents

P.O. Box 1450, Alexandria, VA 22313-1450

**CONSENT OF ASSIGNEE TO CHANGE OF INVENTORSHIP IN PATENT  
(37 C.F.R. § 1.324(b)(3))**

Bristol-Myers Squibb Pharma Company

(type or print name of assignee)

owner by assignment of the above patent,

(check and complete the applicable item below)

- in the assignment being recorded concurrently herewith,  
 in the assignment recorded in the PTO on 2/11/02,  
Date of recordal

Frame 12607

Reel 38

hereby consents to the amendment of the inventorship of this patent as requested

- in the accompanying papers.  
 in the papers mailed to the PTO on \_\_\_\_\_.

(complete the following, if applicable)

- Attached is a "STATEMENT UNDER 37 CFR 3.73(b)," establishing the right of  
the assignee to take action in this case.

Date: 28 May 2004

Richard P. Ryan

Signature

Richard P. Ryan

(type or print name of signatory)

Senior Patent Counsel

Title of signatory if signing on behalf of an entity



1188-70B CIP

PTO/SB/96 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031  
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: He et al.Application No./Patent No.: 6,191,131Filed/Issue Date: February 20, 2001Entitled: **AZOLO TRIAZINES AND PYRIMIDINES**BRISTOL-MYERS SQUIBB PHARMA CO., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

- the assignee of the entire right, title, and interest; or
  - an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %
- in the patent application/patent identified above by virtue of either:

A. [ ] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B.  A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: He et al. To: Dupont Merck Pharmaceutical Company  
The document was recorded in the United States Patent and Trademark Office at  
Reel 9468, Frame 200, or for which a copy thereof is attached.
2. From: Dupont Merck Pharmaceutical Company To: Dupont Pharmaceuticals Company  
The document was recorded in the United States Patent and Trademark Office at  
Reel 9586, Frame 702, or for which a copy thereof is attached.
3. From: Dupont Pharmaceuticals Company To: Bristol-Myers Squibb Pharma Company  
The document was recorded in the United States Patent and Trademark Office at  
Reel 12607, Frame 38, or for which a copy thereof is attached.

[ ] Additional documents in the chain of title are listed on a supplemental sheet.

[ ] Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

28 May 2004

Date

203-677-6075

Telephone number

Richard P. Ryan

Typed or printed name

Richard P. Ryan

Signature

Senior Patent Counsel

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETE D FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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OFFICE OF PETITION



PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): He et al. Patent No: 6,191,131  
Application No.: 09/015,002 Issued Date: February 20, 2001  
Filed: January 28, 1998 Docket: 1188-70B CIP  
Confirmation No. Unassigned  
For: AZOLO TRIAZINES AND PYRIMIDINES

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22323-1450

**ASSOCIATE POWER OF ATTORNEY**  
**PURSUANT TO 37 CFR 1.34 BY ATTORNEY OF RECORD**

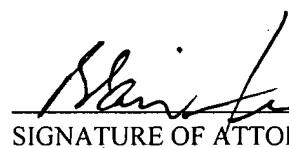
Sir:

Please recognize as Associate Attorneys in this case the practitioners at Customer Number 23869.

Please address all future correspondence to: 23869

Dated

June 8, 2004

  
SIGNATURE OF ATTORNEY OF RECORD

UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE

Inventor: He et al. B1  
Patent No. 6,191,131

Patented: February 20, 2001

On petition requesting issuance of a certificate for correction of inventorship pursuant to 35 U.S.C. 256, it has been found that the above identified patent, through error and without any deceptive intend, improperly sets forth the inventorship. Accordingly, it is hereby certified that the correct inventorship of this patent is:

Liqi He, West Chester, PA (US);  
Paul Gilligan, Wilmington, DE (US);  
Robert Chorvat, West Chester, PA (US);



Signed and Sealed this Thirtieth Day of September, 2004.

Mukund J. Shah  
Mukund Shah  
Supervisory Patent Examiner  
Art Unit 1624

**UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE**

Patent No. 6,191,131 B1

Patented: February 20, 2001

On petition requesting issuance of a certificate for correction of inventorship pursuant to 35 U.S.C. 256, it has been found that the above identified patent, through error and without any deceptive intent, improperly sets forth the inventorship.

Accordingly, it is hereby certified that the correct inventorship of this patent is: Liqi He, West Chester, PA; Paul Gilligan, Wilmington, DE; Robert Chorvat, West Chester, PA

Signed and Sealed this Fifteenth Day of February 2005.

Mukund Shah  
*Supervisory Patent Examiner*  
Art Unit 1624